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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,909	12/01/2000	John Lightfoot	50277-1545

CONFIRMATION NO. 2375

## FORMALITIES LETTER



\*OC000000005686129\*

Hickman Palermo Truong & Becker, LLP  
1600 Willow Street  
San Jose, CA 95125-5106



Date Mailed: 01/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#3 sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): JOHN LIGHTFOOT, et al.

Group Art Unit:  
Not Yet Assigned

Application No.: 09/728,909

Examiner:  
Not Yet Assigned

Filed: December 1, 2000



Title: HIERARCHY-BASED SECURED DOCUMENT REPOSITORY

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Power of Attorney and Revocation of Previous Powers.

( ) Statutory basic filing fee ( ) Utility ( ) Design

( ) Additional claim fees of \$

(X) Missing Parts Surcharge = \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month = \$110.00 ( ) three months = \$890.00

( ) two months = \$390.00 ( ) four months = \$1,390.00

(X) 6 Substitute Sheets of Formal Drawings in compliance with 37 CFR 1.84

(X) Check in the amount of \$130.00. At any time during the pendency of this application, please charge to Deposit Account 50-1302 any fees required or credit any overpayment to this Deposit Account.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: March 8, 2001

Typed Name: CLARE FINNEY

Signature: Clare C. Finney

Respectfully submitted,

By Brian D. Hickman

BRIAN D. HICKMAN

Reg. No. 35,894

Date: March 8, 2001

Telephone No.: (408) 414-1080

Hickman Palermo Truong & Becker LLP

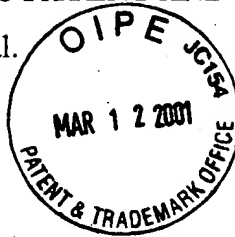
1600 Willow Street

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